Efficient Mendler-Style Lambda-Encodings in Cedille

Denis Firsov, Richard Blair, and Aaron Stump

Department of Computer Science The University of Iowa

February 23, 2019



• It is **possible** to encode inductive datatypes in pure type theory.

- It is possible to encode inductive datatypes in pure type theory.
- Church-style encoding of natural numbers

cNat $\blacktriangleleft \star = \forall X : \star$. $(X \to X) \to X \to X$.

cZ ◀ cNat =
$$\Lambda$$
 X. λ s. λ z. z.
cS ◀ cNat → cNat = λ n. Λ X. λ s. λ z. s (n s z).

- It is possible to encode inductive datatypes in pure type theory.
- Church-style encoding of natural numbers

cNat $\blacktriangleleft \star = \forall X : \star$. $(X \to X) \to X \to X$.

$$cZ \blacktriangleleft cNat = \Lambda X. \lambda s. \lambda z. z.$$

- cS < cNat \rightarrow cNat = λ n. Λ X. λ s. λ z. s (n s z).
- Essentially, we identify each natural number n with its iterator λ s. λ z. sⁿ z.

two := cS (cS cZ) =
$$\lambda$$
 s. λ z. s (s z).

• At the same time, it is provably **impossible** to derive induction principle in the second-order dependent type theory (Geuvers, 2001).

- At the same time, it is provably **impossible** to derive induction principle in the second-order dependent type theory (Geuvers, 2001).
- Moreover, it is provably **impossible** to implement a constant-time predecessor function for cNat (Parigot, 1989).

two := cS (cS Z) := λ s. λ z. s (s z).

three := cS (cS (cS Z)) := λ s. λ z. s (s (s z)).

- At the same time, it is provably **impossible** to derive induction principle in the second-order dependent type theory (Geuvers, 2001).
- Moreover, it is provably **impossible** to implement a constant-time predecessor function for cNat (Parigot, 1989).

two := cS (cS Z) := λ s. λ z. s (s z). three := cS (cS (cS Z)) := λ s. λ z. s (s (s z)).

• As a consequence, most languages come with built-in infrastructure for defining inductive datatypes (data definition, pattern-matching, termination checker, negativity and strictness check, etc.).

data Nat : Set where	pred : Nat -> Nat
zero : Nat	pred zero = zero
suc : Nat $ ightarrow$ Nat	pred (suc n) = n

- At the same time, it is provably **impossible** to derive induction principle in the second-order dependent type theory (Geuvers, 2001).
- Moreover, it is provably **impossible** to implement a constant-time predecessor function for cNat (Parigot, 1989).

two := cS (cS Z) := λ s. λ z. s (s z). three := cS (cS (cS Z)) := λ s. λ z. s (s (s z)).

• As a consequence, most languages come with built-in infrastructure for defining inductive datatypes (data definition, pattern-matching, termination checker, negativity and strictness check, etc.).

data Nat : Set where	pred : Nat -> Nat
zero : Nat	pred zero = zero
suc : Nat $ ightarrow$ Nat	pred (suc n) = n

• In Agda, induction principle can be derived by pattern matching and explicit structural recursion.

• Is it possible to extend CC with some **typing constructs** to derive induction and implement constant-time predecessor (destructor) function for some linear-space encoding of natural numbers (inductive datatypes)?

- Is it possible to extend CC with some <u>typing constructs</u> to derive induction and implement constant-time predecessor (destructor) function for some linear-space encoding of natural numbers (inductive datatypes)?
- The solution is provided by Mendler-style encoding and *The Calculus* of *Dependent Lambda Eliminations (CDLE)* (A. Stump, JFP 2017).

- Is it possible to extend CC with some **typing constructs** to derive induction and implement constant-time predecessor (destructor) function for some linear-space encoding of natural numbers (inductive datatypes)?
- The solution is provided by Mendler-style encoding and *The Calculus* of *Dependent Lambda Eliminations (CDLE)* (A. Stump, JFP 2017).
- CDLE adds three typing constructs to the Curry-style Calculus of Constructions:

- Is it possible to extend CC with some **typing constructs** to derive induction and implement constant-time predecessor (destructor) function for some linear-space encoding of natural numbers (inductive datatypes)?
- The solution is provided by Mendler-style encoding and *The Calculus* of *Dependent Lambda Eliminations (CDLE)* (A. Stump, JFP 2017).
- CDLE adds three typing constructs to the Curry-style Calculus of Constructions:
 - dependent intersection types,

- Is it possible to extend CC with some **typing constructs** to derive induction and implement constant-time predecessor (destructor) function for some linear-space encoding of natural numbers (inductive datatypes)?
- The solution is provided by Mendler-style encoding and *The Calculus* of *Dependent Lambda Eliminations (CDLE)* (A. Stump, JFP 2017).
- CDLE adds three typing constructs to the Curry-style Calculus of Constructions:
 - dependent intersection types,
 - implicit products,

- Is it possible to extend CC with some **typing constructs** to derive induction and implement constant-time predecessor (destructor) function for some linear-space encoding of natural numbers (inductive datatypes)?
- The solution is provided by Mendler-style encoding and *The Calculus* of *Dependent Lambda Eliminations (CDLE)* (A. Stump, JFP 2017).
- CDLE adds three typing constructs to the Curry-style Calculus of Constructions:
 - dependent intersection types,
 - implicit products,
 - oprimitive heterogeneous equality.

- Is it possible to extend CC with some **typing constructs** to derive induction and implement constant-time predecessor (destructor) function for some linear-space encoding of natural numbers (inductive datatypes)?
- The solution is provided by Mendler-style encoding and *The Calculus* of *Dependent Lambda Eliminations (CDLE)* (A. Stump, JFP 2017).
- CDLE adds three typing constructs to the Curry-style Calculus of Constructions:
 - dependent intersection types,
 - implicit products,
 - oprimitive heterogeneous equality.
- Cedille is an implementation of CDLE type theory (in Agda!).

Extension: Dependent intersection types

 $\frac{\Gamma \vdash T : \star \quad \Gamma, x : T \vdash T' : \star}{\Gamma \vdash \iota x : T . T' : \star}$

Introduction

Formation

$$\frac{\Gamma \vdash t_1 : T \quad \Gamma \vdash t_2 : [t_1/x]T' \quad \Gamma \vdash p : t_1 \simeq t_2}{\Gamma \vdash [t_1, t_2\{p\}] : \iota x : T . T'}$$

Elimination

$$\frac{\Gamma \vdash t : \iota x : T. T'}{\Gamma \vdash t.1 : T} \text{ first view} \qquad \frac{\Gamma \vdash t : \iota x : T. T'}{\Gamma \vdash t.2 : [t.1/x]T'} \text{ second view}$$

Extension: Dependent intersection types

 $\frac{\Gamma \vdash T : \star \quad \Gamma, x : T \vdash T' : \star}{\Gamma \vdash \iota x : T . T' : \star}$

Introduction

Formation

$$\frac{\Gamma \vdash t_1 : T \quad \Gamma \vdash t_2 : [t_1/x]T' \quad \Gamma \vdash p : t_1 \simeq t_2}{\Gamma \vdash [t_1, t_2\{p\}] : \iota x : T . T'}$$

Elimination

$$\begin{array}{ll} \frac{\Gamma \vdash t : \iota x : T. T'}{\Gamma \vdash t.1 : T} \text{ first view} & \frac{\Gamma \vdash t : \iota x : T. T'}{\Gamma \vdash t.2 : [t.1/x]T'} \text{ second view} \\ \bullet \text{ Erasure} & \begin{aligned} |[t_1, t_2\{p\}]| &= |t_1| \\ |t.1| &= |t| \\ |t.2| &= |t| \end{aligned}$$

Extension: Implicit products

• Formation

$$\frac{\Gamma, x: T' \vdash T: \star}{\Gamma \vdash \forall x: T'. T: \star}$$

Introduction

$$\frac{\Gamma, x: T' \vdash t: T \quad x \notin FV(|t|)}{\Gamma \vdash \Lambda x: T'. t: \forall x: T'. T}$$

• Elimination

$$\frac{\Gamma \vdash t : \forall x : T'. T \quad \Gamma \vdash t' : T'}{\Gamma \vdash t \quad -t' : [t'/x]T}$$

Extension: Implicit products

• Formation

 $\frac{\Gamma, x: T' \vdash T: \star}{\Gamma \vdash \forall x: T'. T: \star}$

Introduction

$$\frac{\Gamma, x: T' \vdash t: T \quad x \notin FV(|t|)}{\Gamma \vdash \Lambda x: T'. t: \forall x: T'. T}$$

Elimination

Erasure

$$\frac{\Gamma \vdash t : \forall x : T'. T \quad \Gamma \vdash t' : T'}{\Gamma \vdash t \quad -t' : [t'/x]T}$$

$$|\Lambda x: T. t| = |t|$$
$$|t - t'| = |t|$$

Extension: Equality

• Formation rule • Formation rule • Introduction • Elimination $\frac{\Gamma \vdash t : T}{\Gamma \vdash \beta : t \simeq t}$ • Elimination $\frac{\Gamma \vdash t' : t_1 \simeq t_2 \ \Gamma \vdash t : [t_1/x]T}{\Gamma \vdash \rho \ t' - t : [t_2/x]T}$

Extension: Equality

• Formation rule	$rac{{\displaystyle \Gammadash t:T} {\displaystyle \Gammadash t':T'}}{{\displaystyle \Gammadash t\simeq t':\star}}$
 Introduction 	$\frac{\Gamma \vdash t : T}{\Gamma \vdash \beta : t \simeq t}$
 Elimination 	$\frac{\Gamma \vdash t': t_1 \simeq t_2 \ \Gamma \vdash t: [t_1/x]T}{\Gamma \vdash \rho \ t' \ - \ t: [t_2/x]T}$
• Erasure	$\begin{aligned} \beta &= \lambda x. x \\ \rho t' - t &= t \end{aligned}$

• Categorically, inductive datatypes are modelled as initial F-algebras.

- Categorically, inductive datatypes are modelled as initial F-algebras.
- Mendler-style F-algebra is a pair of object (*carrier*) X and a natural transformation $\mathcal{C}(-, X) \rightarrow \mathcal{C}(F -, X)$.

- Categorically, inductive datatypes are modelled as initial F-algebras.
- Mendler-style F-algebra is a pair of object (*carrier*) X and a natural transformation $\mathcal{C}(-, X) \rightarrow \mathcal{C}(F -, X)$.
- In Cedille, objects are types and natural transformations are polymorphic functions:

 $AlgM \blacktriangleleft \star \to \star = \lambda X : \star. \forall R : \star. (R \to X) \to F R \to X.$

- Categorically, inductive datatypes are modelled as initial F-algebras.
- Mendler-style F-algebra is a pair of object (*carrier*) X and a natural transformation $\mathcal{C}(-, X) \rightarrow \mathcal{C}(F -, X)$.
- In Cedille, objects are types and natural transformations are polymorphic functions:

 $\texttt{AlgM} \blacktriangleleft \star \to \star = \lambda \texttt{ X } : \star. \ \forall \texttt{ R } : \star. \ (\texttt{R} \to \texttt{X}) \to \texttt{ F } \texttt{ R } \to \texttt{ X }.$

• The object (a type) of initial Mendler-style F-algebra is a least fixed point of F:

FixM $\blacktriangleleft \star = \forall X : \star$. AlgM X \rightarrow X.

- Categorically, inductive datatypes are modelled as initial F-algebras.
- Mendler-style F-algebra is a pair of object (*carrier*) X and a natural transformation $\mathcal{C}(-, X) \rightarrow \mathcal{C}(F -, X)$.
- In Cedille, objects are types and natural transformations are polymorphic functions:

 $Alg M \blacktriangleleft \star \to \star = \lambda X : \star. \ \forall R : \star. (R \to X) \to F R \to X.$

• The object (a type) of initial Mendler-style F-algebra is a least fixed point of F:

 $\texttt{FixM} \blacktriangleleft \star = \forall \texttt{X} : \star. \texttt{AlgM} \texttt{X} \to \texttt{X}.$

• There is a homomorphism from the carrier of initial algebra to the carrier of any other algebra (gives weak initiality):

foldM \triangleleft \forall X : \star . AlgM X \rightarrow FixM \rightarrow X = <...>

- Categorically, inductive datatypes are modelled as initial F-algebras.
- Mendler-style F-algebra is a pair of object (*carrier*) X and a natural transformation $\mathcal{C}(-, X) \rightarrow \mathcal{C}(F -, X)$.
- In Cedille, objects are types and natural transformations are polymorphic functions:

 $\texttt{AlgM} \blacktriangleleft \star \to \star = \lambda \texttt{ X } : \star. \ \forall \texttt{ R } : \star. \ (\texttt{R} \to \texttt{X}) \to \texttt{ F } \texttt{ R } \to \texttt{ X }.$

• The object (a type) of initial Mendler-style F-algebra is a least fixed point of F:

 $\texttt{FixM} \blacktriangleleft \star = \forall \texttt{X} : \star \texttt{. AlgM X} \to \texttt{X}.$

• There is a homomorphism from the carrier of initial algebra to the carrier of any other algebra (gives weak initiality):

foldM \triangleleft \forall X : \star . AlgM X \rightarrow FixM \rightarrow X = <..>

Constructors are expressed as a Church-style algebra:
 inM ◄ F FixM → FixM = λ v. λ alg. alg (foldM alg) v.

• There is no induction principle for FixM.

- There is no induction principle for FixM.
- We define a type FixIndM as an inductive subset of FixM:
 FixIndM < ★ = ℓ x : FixM. Inductive x.

- There is no induction principle for FixM.
- We define a type FixIndM as an inductive subset of FixM:
 FixIndM ◀ ★ = ι x : FixM. Inductive x.
- For FixIndM to be inhabited, we must express an inductivity predicate so that the value x : FixM and the proof p : Inductive x are equal.

 $\texttt{FixM} \blacktriangleleft \star = \forall \texttt{X} : \star. \texttt{AlgM} \texttt{X} \to \texttt{X}.$

Inductive \blacktriangleleft FixM $\rightarrow \star = \lambda x$: FixM.

 \forall Q : FixM \rightarrow *. PrfAlgM FixM Q inM \rightarrow Q x.

- There is no induction principle for FixM.
- We define a type FixIndM as an inductive subset of FixM:
 FixIndM ◄ ★ = ι x : FixM. Inductive x.
- For FixIndM to be inhabited, we must express an inductivity predicate so that the value x : FixM and the proof
 p : Inductive x are equal.

 $\texttt{FixM} \blacktriangleleft \star = \forall \texttt{X} : \star \texttt{. AlgM X} \to \texttt{X}.$

Inductive \blacktriangleleft FixM $\rightarrow \star = \lambda x$: FixM.

 \forall Q : FixM \rightarrow *. PrfAlgM FixM Q inM \rightarrow Q x.

• Mendler-style proof-algebras

 $\texttt{AlgM} \blacktriangleleft \star \to \star = \lambda \texttt{ X. } \forall \texttt{ R} \texttt{ : } \star \texttt{. } (\texttt{R} \to \texttt{X}) \to \texttt{F} \texttt{ R} \to \texttt{X.}$

- There is no induction principle for FixM.
- We define a type FixIndM as an inductive subset of FixM:
 FixIndM ◄ ★ = ι x : FixM. Inductive x.
- For FixIndM to be inhabited, we must express an inductivity predicate so that the value x : FixM and the proof p : Inductive x are equal.

$$\texttt{FixM} \blacktriangleleft \star = \forall \texttt{X} : \star. \texttt{AlgM} \texttt{X} \to \texttt{X}.$$

Inductive \blacktriangleleft FixM $\rightarrow \star = \lambda x$: FixM.

- \forall Q : FixM \rightarrow *. PrfAlgM FixM Q inM \rightarrow Q x.
- Mendler-style proof-algebras

 $\texttt{AlgM} \blacktriangleleft \star \to \star = \lambda \texttt{ X. } \forall \texttt{ R } : \star. (\texttt{R} \to \texttt{X}) \to \texttt{F} \texttt{ R} \to \texttt{X.}$

$$\texttt{PrfAlgM} \blacktriangleleft \Pi \texttt{A} : \star. \texttt{(A} \to \star) \to \texttt{(F A} \to \texttt{A)} \to \star$$

=
$$\lambda$$
 A. λ Q. λ alg.

$$\forall$$
 R : \star . \forall c : R \rightarrow A. \forall e : (Π r : R. c r \simeq r).
(Π r : R. 0 (c r)) \rightarrow

$$\Pi$$
 fr : F R. Q (alg (fmap c fr)).

Mendler-style induction principle

• The collection of constructors of type FixIndM is expressed by Church-algebra

inFixIndM \triangleleft F FixIndM \rightarrow FixIndM = <..>

Mendler-style induction principle

• The collection of constructors of type FixIndM is expressed by Church-algebra

inFixIndM \triangleleft F FixIndM \rightarrow FixIndM = <..>

• Induction principle

induction $\triangleleft \forall Q$: FixIndM $\rightarrow \star$. PrfAlgM FixIndM Q inFixIndM $\rightarrow \Box x$: FixIndM. Q x = <...>

Mendler-style induction principle

• The collection of constructors of type FixIndM is expressed by Church-algebra

inFixIndM \triangleleft F FixIndM \rightarrow FixIndM = <..>

• Induction principle

induction $\triangleleft \forall Q$: FixIndM $\rightarrow \star$. PrfAlgM FixIndM Q inFixIndM $\rightarrow \Box x$: FixIndM. Q x = <...>

• Cancellation law:

 $\begin{array}{l} \text{indHom} \blacktriangleleft \forall \ \text{Q palg x.} \\ \text{induction palg (inFixInd x)} \simeq \text{palg (induction palg) x} \\ = \Lambda \ \text{Q. } \Lambda \ \text{palg. } \Lambda \ \text{x. } \beta. \end{array}$

• Can we define a a proof-algebra which erases to lambda term λ x. λ y. y?

• outAlgM \triangleleft PrfAlgM FixIndM (λ _. F FixIndM) inFixIndM = Λ R. Λ c. Λ e. λ x. λ y. [y , c y { e y }].2.

Constant-time destructor

- outAlgM \triangleleft PrfAlgM FixIndM (λ _. F FixIndM) inFixIndM = Λ R. Λ c. Λ e. λ x. λ y. [y , c y { e y }].2.
- Finally, we arrive at the generic constant-time linear-space destructor of inductive datatypes:

outFixIndM \triangleleft FixInd \rightarrow F FixInd = induction outAlgM.

Constant-time destructor

- outAlgM \triangleleft PrfAlgM FixIndM (λ _. F FixIndM) inFixIndM = Λ R. Λ c. Λ e. λ x. λ y. [y, cy { ey }].2.
- Finally, we arrive at the generic constant-time linear-space destructor of inductive datatypes:

outFixIndM \triangleleft FixInd \rightarrow F FixInd = induction outAlgM.

• Since outFixIndM is constant-time then we get Lambek's Lemma as an easy consequence

lambek1 \blacktriangleleft Π x: F FixInd. outFixIndM (inFixIndM x) \simeq x = λ x. $\beta.$

lambek2 $\triangleleft \Pi x$: FixIndM. inFixIndM (outFixIndM x) $\simeq x = \lambda x$. induction ($\Lambda R. \Lambda c. \Lambda e. \lambda$ ih. λ fr. β) x.

Example: Natural numbers

• Natural numbers arise as least fixed point of a scheme NF

```
NF \blacktriangleleft \star \to \star = \lambda X : \star. Unit + X.
```

```
Nat \blacktriangleleft \star = FixIndM NF.
```

Constructors

zero \triangleleft Nat = inFixIndM (in1 unit). suc \triangleleft Nat \rightarrow Nat = λ n. inFixIndM (in2 n).

- Constructor suc has the following underlying lambda-term suc n ~ λ alg. (alg (λ f. (f alg)) (λ i. λ j. (j n))).
- Constant-time predecessor

pred \blacktriangleleft Nat \rightarrow Nat = λ n. case (outFixIndM n) (λ _. zero) (λ m. m).

The described developments are well-justified for any functor
 Functor
 (★ → ★) → ★ = λ F.
 Σ fmap : ∀ X Y : ★. (X → Y) → F X → F Y.
 IdentityLaw fmap × CompositionLaw fmap.

• The described developments are well-justified for any functor

Functor \blacktriangleleft (* \rightarrow *) \rightarrow * = λ F. Σ fmap : \forall X Y : *. (X \rightarrow Y) \rightarrow F X \rightarrow F Y. IdentityLaw fmap \times CompositionLaw fmap.

• Surprisingly, the construction can be easily generalized to the larger class of schemes we call **identity mappings**

IdMapping \blacktriangleleft (* \rightarrow *) \rightarrow * = λ F.

 \forall X Y : *. Id X Y \rightarrow Id (F X) (F Y).

• The described developments are well-justified for any functor

Functor \blacktriangleleft ($\star \rightarrow \star$) $\rightarrow \star = \lambda$ F. Σ fmap : \forall X Y : \star . (X \rightarrow Y) \rightarrow F X \rightarrow F Y. IdentityLaw fmap \times CompositionLaw fmap.

Surprisingly, the construction can be easily generalized to the larger class of schemes we call identity mappings
 IdMapping ◄ (* → *) → * = λ F.

 \forall X Y : \star . Id X Y \rightarrow Id (F X) (F Y).

Every functor is identity mapping
 fm2im ◀ ∀ F : ★ → ★. Functor F → IdMapping F = <...>

• The described developments are well-justified for any functor

Functor \blacktriangleleft (* \rightarrow *) \rightarrow * = λ F. Σ fmap : \forall X Y : *. (X \rightarrow Y) \rightarrow F X \rightarrow F Y. IdentityLaw fmap \times CompositionLaw fmap.

• Surprisingly, the construction can be easily generalized to the larger class of schemes we call **identity mappings**

IdMapping \blacktriangleleft (* \rightarrow *) \rightarrow * = λ F. \forall X Y : *. Id X Y \rightarrow Id (F X) (F Y).

- Every functor is identity mapping
 fm2im ◀ ∀ F : ★ → ★. Functor F → IdMapping F = <...>
- Converse is not true

UneqPair $\blacktriangleleft \star \to \star = \lambda X. \Sigma x_1 x_2 : X. x_1 \neq x_2.$

• Identity mappings induce a large class of datatypes (including infinitary and non-strictly positive datatypes).

• We generically define course-of-value datatypes and implement dependent histomorphisms. We do this by defining a least fixed point of a coend of "negative" scheme.

Lift \blacktriangleleft (* \rightarrow *) \rightarrow * \rightarrow * = λ F. λ X. F X \times (X \rightarrow F X).

FixCoV \blacktriangleleft (* \rightarrow *) \rightarrow * = λ F. FixIndM (Coend (Lift F)).

• In a similar way, we generically derive (small) inductive-recursive datatypes and derive the respective dependent elimination.

Thank you!