

The Simply Typed Lambda Calculus \mathcal{N}

EUTypes Meeting in Nijmegen

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- 1 We introduce a simply typed λ -calculus, **system \mathcal{N}**
- 2 Our goal is representing in \mathcal{N} all well-founded trees and polymorphic maps on them which are definable in **system \mathcal{F}**
- 3 The main feature of \mathcal{N} is the possibility of extending at run-time the domain of a recursively defined map

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- 2 Our goal is representing in \mathcal{N} all well-founded trees and polymorphic maps on them which are definable in **system \mathcal{F}**
- 3 The main feature of \mathcal{N} is the possibility of extending at run-time the domain of a recursively defined map
- 4 Reduction of \mathcal{N} are:
 - **algebraic** reductions
 - reductions for **primitive recursion** on trees
 - reductions for **adding one constructor** to a recursive definition

This is an ongoing work!

The result we already have about system \mathcal{N} are:

- ① **normalization** (with an intuitionistic proof)
- ② all trees denoted by some term t in some data type D of system \mathcal{N} are **well-founded**.
- ③ system \mathcal{N} defines a **Infinitary Proof System** for second order intuitionistic arithmetic

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- ③ system \mathcal{N} defines a **Infinitary Proof System** for second order intuitionistic arithmetic

The results we are checking is:

- ① **equivalence between system \mathcal{N} and polymorphism**
- ② There is a fully-abstract model of system \mathcal{N}

- §1. Types of \mathcal{N} 
- §2. Recursion in \mathcal{N}
- §3. Terms of \mathcal{N}
- §4. Semantics for Expandable Recursion
- §5. Conclusions

§1. Types of system \mathcal{N}

- 1 Let (D_1, \dots, D_n) be the set of well-founded trees whose constructors have index sets among D_1, \dots, D_n .
- 2 In Martin-Lof notation [1], (D_1, \dots, D_n) is the W -type $W(i : \{1, \dots, n\})D_i$.

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- 3 The set **Data** of data types of \mathcal{N} is the smallest set such that: if $D_1, \dots, D_n \in \mathcal{D}$ then $(D_1, \dots, D_n) \in \mathcal{D}$.
- 4 **Data** includes the data types: \emptyset , **Unit**, **Bool**, **Nat**, *finite binary trees*, *well-founded at most countable trees*, and many more.

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- 4 **Data** includes the data types: \emptyset , **Unit**, **Bool**, **Nat**, *finite binary trees*, *well-founded at most countable trees*, and many more.
- 5 The set **Tp** of types of \mathcal{N} is inductively defined by $T ::= D | T \times T | T \rightarrow T$ for any data type $D \in \mathbf{Data}$.

The general pattern for a Tree

$D = (D', D'', D''')$ is the set of well-founded trees whose nodes have index set D' or D'' or D''' . A tree in D is made of:

- 1 constructors c', c'', c''' of index sets D', D'', D'''
- 2 index maps f, g, h of type $D' \rightarrow D, D'' \rightarrow D, D''' \rightarrow D$
- 3 indexes $y, z : D', t, u : D'', v, w : D'''$

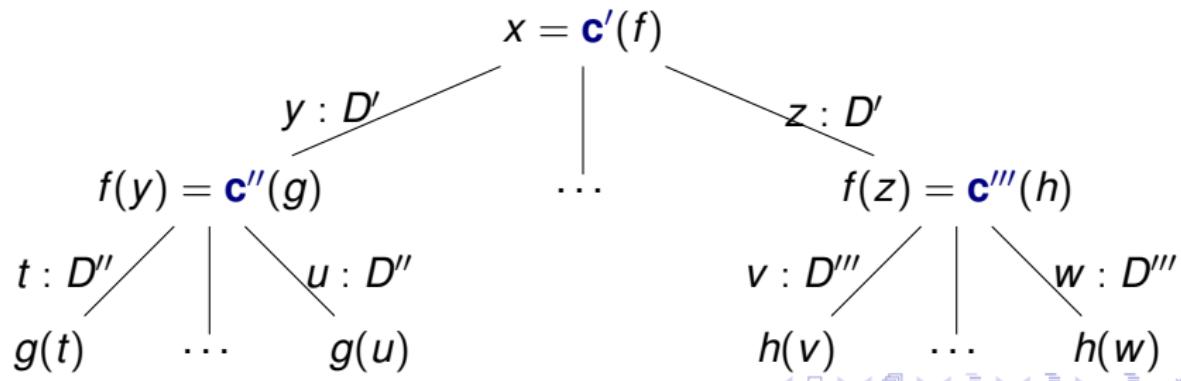
Assume that $f(y), f(y)$ have values $c''(g), c'''(h)$. Then $x = \mathbf{c}'(f) : D$ denotes the tree:

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1 Informally, a primitive recursion of $h : D \rightarrow A$ runs as follows. Assume that D has a constructor \mathbf{c}_i with argument list d_1, \dots, d_n, \dots . We first apply h to each d_1, \dots, d_n, \dots , obtaining $h(d_1), \dots, h(d_n), \dots : A$, then we define

$$h(\mathbf{c}_i(d_1, \dots, d_n, \dots)) = r_i(h(d_1), \dots, h(d_n), \dots)$$

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2 In any $D \in \mathbf{Data}$, the list d_1, \dots, d_n, \dots of arguments of \mathbf{c}_i is expressed in \mathcal{N} by an index map $f : D_i \rightarrow D$ such that $f(e_1) = d_1, \dots, f(e_n) = d_n, \dots$ for some $e_1, \dots, e_n, \dots : D_i$.

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3 Thus, instead of forming $h(d_1), \dots, h(d_n), \dots : A$, we form $h \circ f : D_i \rightarrow A$, an index map for $h(d_1) = h(f(e_1)), \dots, h(d_n) = h(f(e_n)), \dots : A$. Then we define in \mathcal{N} :

$$\mathbf{h}(\mathbf{c}_i(\mathbf{f})) = \mathbf{r}_i(\mathbf{h} \circ \mathbf{f})$$

Definition of Extendable Recursion

- 1 Extendable recursion on D in system \mathcal{N} defines a map $h : D \rightarrow A$ using one clause r_i for each D_i , and a **single extra clause** $r_n : A \rightarrow A$, dealing with all possible extensions of the data type D .

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- 2 If $t \equiv c_i(f)$, as usual we set

$$h(t) = r_i(h \circ f)$$

- 3 The clause r_n is used on trees $t \equiv \text{future}(f) : D$ built by some new constructor **future**. We assume that $h : D \rightarrow A$ is already defined on $f(e) : D$ for all $e : D_i$, we move the recursive call to h to the children of t , forming $\text{future}(h \circ f) : A$, then we apply r_n obtaining

$$h(t) = r_n(\text{future}(h \circ f))$$

The constructor **future** does **not** disappear.

In order to extend the domain of a recursive map at run-time, our **first step** is to introduce an operation extending a data type.

- ① We define an operation $(.)@E$ adding one index set $E \in \mathbf{Data}$ to a data type $D = (D_0, \dots, D_{n-1})$:
$$D@E = (D_0, \dots, D_{n-1}, E) \in \mathbf{Data}.$$
- ② $D@E$ has one tree constructor $\mathbf{c}_n : (E \rightarrow D@E) \rightarrow D@E$ more than D .

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- ② $D@E$ has one tree constructor $\mathbf{c_n} : (E \rightarrow D@E) \rightarrow D@E$ more than D .
- ③ $(.)@D$ is extended pointwise and componentwise to all types in \mathbf{Tp} by:
 - $(A \times B)@E \equiv A@E \times B@E \in \mathbf{Tp}$
 - $(A \rightarrow B)@E \equiv A \rightarrow B@E \in \mathbf{Tp}.$
- ④ We call the type $A@E$ an *extension* of the type A .

Future constructors

In order to extend the domain of a recursive map at run-time, our **second step** is to introduce constants $\mathbf{future}_{m,E,D} : (E \rightarrow D) \rightarrow D$ we call **future constructors**.

- 1 If $D = (D_0, \dots, D_{n-1})$, then $\mathbf{future}_{m,E,D}$ represents in D a constructor \mathbf{c}_n we may to D in a possible extension $D@E = (D_0, \dots, D_{n-1}, E)$.

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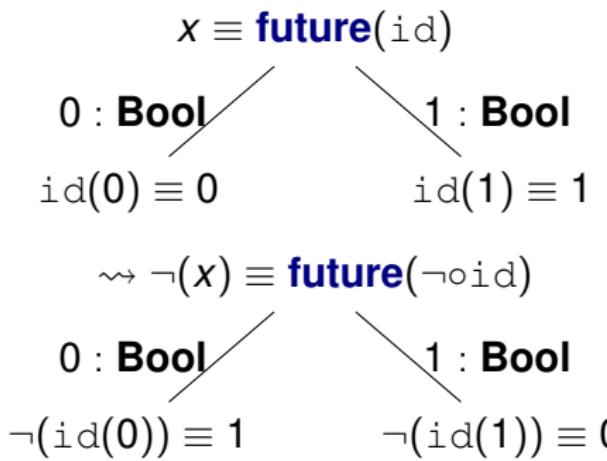
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- ② We add a unary **term operator** $\mathbf{Forth}_{m,E}$, executing a possible extension of D with E .
- ③ $\mathbf{Forth}_{m,E}$ replaces $\mathbf{future}_{m,E,D}$ with \mathbf{c}_n :
$$\mathbf{Forth}_{m,E} \cdot \mathbf{future}_{m,E,D}(f) = \mathbf{c}_n(\mathbf{Forth}_{m,E}(f))$$
- ④ $\mathbf{future}_{m,E,D}$, $\mathbf{Forth}_{m,E}$ are extended to all types point-wise and component-wise.

An example: one uniform extension of negation

- 1 Let **Bool** = {0, 1}. **Bool** with future constructors is a set of trees whose leaves are booleans.
- 2 Let $\neg(0) = 1$, $\neg(1) = 0$. We uniformly extend \neg to any future constructor of **Bool** with the clause
 $\neg(\mathbf{future}(f)) = \mathbf{future}(\neg \circ f)$.

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 $\neg(\mathbf{future}(f)) = \mathbf{future}(\neg \circ f)$.
- 3 The result is a map negating all leaves of a tree.



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§3. Terms of \mathcal{N}

- 1 Terms of \mathcal{N} of type A are defined w.r.t. a list $\Gamma \equiv E_0, \dots, E_{m-1}$ of data types, denoting *possible extensions* of A .
- 2 Terms of \mathcal{N} include all algebraic combinators, pairing, projections, tree constructors, future constructors
future : $(E \rightarrow A) \rightarrow A$ for $E \in \Gamma$, uniform application
u : $D, (D \rightarrow D) \rightarrow D$ and for each $D = (D_0, \dots, D_{n-1})$ a constant **r** $\equiv \mathbf{r}_{D,A}$ denoting recursion on trees of D with result in A .
- 3 **r** has one recursive clause $r_i : (D_i \rightarrow A) \rightarrow A$ for each index set D_i , and one extra clause $r_n : A \rightarrow A$, dealing with extensions of A .
- 4 Terms are closed under application, and under the unary operator **Forth** $_{m,E}$, which removes the type E in position m from a context.
- 5 We write $\Gamma \vdash t : A$ for “ $t : A$ in the context Γ ”.

Definition (Terms of \mathcal{N})

Let $n, m \in \mathbf{Nat}$, $i < n, j < m$, $D = (D_0, \dots, D_{n-1})$, $E \in \mathbf{Data}$, $A, B \in \mathbf{Tp}$, and $\Gamma = E_0, \dots, E_{m-1}$ any context :

- 1 $\Gamma \vdash C : A$. If $C(\vec{x}) = \alpha[\vec{x}]$ is a combinator of type A
- 2 $\Gamma \vdash \langle _, _ \rangle : A, B \rightarrow A_1 \times A_2$ and $\Gamma \vdash \pi_i : A_1 \times A_2 \rightarrow A_i$
- 3 (constructors) $\Gamma \vdash \mathbf{cons}_{i,D} : (D_i \rightarrow D) \rightarrow D$
- 4 If $\Gamma \vdash t : A \rightarrow B$ and $\Gamma \vdash u : A$, then $\Gamma \vdash t(u) : B$.

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- ④ If $\Gamma \vdash t : A \rightarrow B$ and $\Gamma \vdash u : A$, then $\Gamma \vdash t(u) : B$.

- ⑤ (future constructors) $\Gamma \vdash \mathbf{future}_{j,E_j,A} : (E_j \rightarrow A) \rightarrow A$
- ⑥ (uniform application) $\Gamma \vdash \mathbf{u}_D : D, (D \rightarrow D) \rightarrow D$
- ⑦ (recursion) $\Gamma \vdash \mathbf{r}_{D,A} : \vec{R}, D \rightarrow A$, with $R_i = (D_i \rightarrow A) \rightarrow A$ for all $i < n$, and $R_n = (A \rightarrow A)$
- ⑧ (Forth) If $\Gamma, E, \Delta \vdash t : A$, then $\Gamma, \Delta \vdash \mathbf{Forth}_{m,E}.t : A @ E$

Definition (Reductions on \mathbf{u} , \mathbf{r} for \mathcal{N})

- ① Let $c \equiv \mathbf{future}_{i,E,D}$, \mathbf{cons}_i and $g : D \rightarrow D$ and $c(f) : D$.
 - ① $\mathbf{u}(c(f))(g) \rightsquigarrow c(g \circ f) : B$
 - ② If $d \rightsquigarrow e : D$ then $\mathbf{u}(d)(g) \rightsquigarrow \mathbf{u}(e)(g)$
- ② Assume $D = (D_0, \dots, D_{n-1})$, $\vec{r} = r_0, \dots, r_n$.
 - ① If $d \equiv c(f)$ and $c \equiv \mathbf{cons}_i$ then $\mathbf{r}\vec{r}d \rightsquigarrow r_i(\mathbf{r}(\vec{r}) \circ f)$
 - ② If $d \equiv c(f)$ and $c \equiv \mathbf{future}$ then $\mathbf{r}\vec{r}d \rightsquigarrow r_n(c(\mathbf{r}(\vec{r}) \circ f))$
 - ③ If $d \rightsquigarrow e$ then $\mathbf{r}\vec{r}d \rightsquigarrow \mathbf{r}\vec{r}e$

The remaining reductions for \mathcal{N} are given in Appendix.

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In order to define a model of system \mathcal{N} we face the following vicious cycle.

- ① The definition of a term $E \vdash t : D$ may include a future constructor \mathbf{future}_E of index set E .
- ② \mathbf{future}_E has type $:(E \rightarrow D) \rightarrow D$, and has domain all maps $E \rightarrow D$.
- ③ If $E = D$, defining these maps requires to define D **before completing the definition** of any $t : D$.
- ④ Thus, the definition of \mathbf{future}_E is not stratified.

Candidates and Approximated Constructors

Let $E \in \mathbf{Data}$, and \mathcal{A} be any model of \mathcal{N} , and $E_{\mathcal{A}}$ be the interpretation of the type E in \mathcal{A} , and $X \subseteq E_{\mathcal{A}}$.

- 1 We call X a **candidate** for $E_{\mathcal{A}}$.
- 2 In the models of \mathcal{N} we add constants $\mathfrak{j}_X : (X \rightarrow D) \rightarrow D$.
- 3 We call \mathfrak{j}_X an **approximation** of the future constructor **future** $_E : (E \rightarrow D) \rightarrow D$.

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- ① We call X a **candidate** for $E_{\mathcal{A}}$.
- ② In the models of \mathcal{N} we add constants $\mathsf{j}_X : (X \rightarrow D) \rightarrow D$.
- ③ We call j_X an **approximation** of the future constructor $\mathbf{future}_E : (E \rightarrow D) \rightarrow D$.
- ④ The branching of $\mathsf{j}_X(f)$ is a **restriction** of the branching of $\mathbf{future}_E(f)$.
- ⑤ The definition of j_X is stratified, therefore if we may interpret $\mathbf{future}_E = \mathsf{j}_X$ we would be done.

Unfortunately . . . (see next slide)

A second vicious cycle

- ➊ Unfortunately, we cannot have $\mathbf{future}_E = j_X$ in \mathcal{A} .
- ➋ Indeed, if we choose $X \subseteq E_{\mathcal{A}}$ and we add the new constant j_X to \mathcal{A} , then we may define new terms $e \in E_{\mathcal{A}}$ from them.
- ➌ e is defined after X , thus we may have $e \notin X$, hence $X \neq E$ and $\mathbf{future}_E \neq j_X$.

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- 3 e is defined after X , thus we may have $e \notin X$, hence $X \neq E$ and $\mathbf{future}_E \neq j_X$.

- 4 If we try to **force** $X = E_{\mathcal{A}}$ in \mathcal{A} , we find a vicious cycle similar to the vicious cycle in the definition of constructor.
- 5 This second vicious cycle, however, is easier to break.

Breaking the vicious cycle

- 1 For any model \mathcal{A} there is a model $\mathcal{A}^E \supset \mathcal{A}$ including the approximated constructor j_{E_A} .
- 2 \mathcal{N} cannot distinguish between \mathbf{future}_E and j_{E_A} : thus, the behavior of \mathbf{future}_E in \mathcal{A} may be described from the behavior of j_{E_A} in \mathcal{A}^E , without any vicious cycle.

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- 2 \mathcal{N} cannot distinguish between future_E and j_{E_A} : thus, the behavior of future_E in \mathcal{A} may be described from the behavior of j_{E_A} in \mathcal{A}^E , without any vicious cycle.
- 3 By exploiting this idea we may adapt Tait's notion of reducibility ([3]) to system \mathcal{N} .
- 4 We express Tait's reducibility w.r.t. a countable family of models of \mathcal{N} , closed under the operation $\mathcal{A} \mapsto \mathcal{A}^E$.
- 5 This proof cannot be expressed in a **second order arithmetic**, unless **we bound** the number of nesting in a data type and in a type.

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Theorem (Totality and Expressive Power of \mathcal{N})

- 1 *All terms of \mathcal{N} normalize*
- 2 *All trees denoted by some term $t : D \in \mathbf{Data}$ of system \mathcal{N} are well-founded.*
- 3 *(Expressive Power) We may define in \mathcal{N} an Infinitary Proof System for second order intuitionistic arithmetic HA^2*

Summary of the Talk

- 1 We defined a simply typed λ -calculus \mathcal{N} in which primitive recursive definitions on trees may be extended to a larger domain at run-time.
- 2 System \mathcal{N} is defined in term of **concrete tree operations** and aims to be **equivalent to polymorphism**.

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- 3 **What we proved**: System \mathcal{N} has the usual properties of Subject Reduction, Confluence and Normalization, and defines a Infinitary Proof System for Second Order Arithmetic.

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- 2 System \mathcal{N} is defined in term of **concrete tree operations** and aims to be **equivalent to polymorphism**.
- 3 **What we proved**: System \mathcal{N} has the usual properties of Subject Reduction, Confluence and Normalization, and defines a Infinitary Proof System for Second Order Arithmetic.
- 4 **What we are checking**: whether well-founded trees and the definable maps on them are the same in system \mathcal{N} and system \mathcal{F} , and whether \mathcal{N} defines a denotation system for ordinals of second order analysis.

References

- ① P. Martin-Lof, Intuitionistic Type Theory, June 1980, Bibliopolis.
- ② H. Barendregt, Lambda Calculus with Types. Cambridge University Press, 2013.
- ③ William W. Tait: Intensional Interpretations of Functionals of Finite Type I. J. Symb. Log. 32(2): 198-212 (1967)

A research report about system \mathcal{N} may be found at:

[www.di.unito.it/~stefano/
SistemaN-definizioni-14-Luglio-2017.pdf](http://www.di.unito.it/~stefano/SistemaN-definizioni-14-Luglio-2017.pdf)

A Century of Constructive Reasoning ...

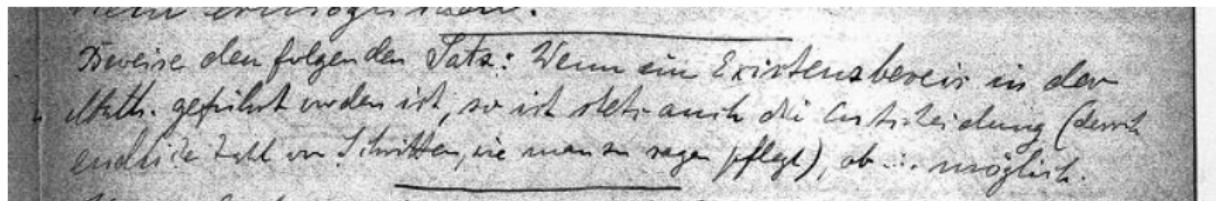


Figure: **Hilbert Constructivization Conjecture** (Courtesy from Goettingen State and University Library, Germany. Thanks to Benedikt Ahrens for translating).

Probably the first version (around 1917) of the following conjecture by Hilbert:

"Prove the following theorem: When a proof of existence has been concluded in mathematics, then also the decision (in a finite number of steps, as one says) is always possible."

Definition (Algebraic Reductions for \mathcal{N})

- 1 Let $C(\vec{x}) = \alpha[\vec{x}]$ be any combinator.
 - 1 $C(\vec{t}) \rightsquigarrow \alpha[\vec{t}/\vec{x}]$.
 - 2 $\pi_i(< a_1, a_2 >) \rightsquigarrow a_i$ for $i = 1, 2$
 - 3 If $a \rightsquigarrow b$ then $\pi_i(a) \rightsquigarrow \pi_i(b)$.
 - 4 If $f \rightsquigarrow g$ then $fa \rightsquigarrow ga$
- 2 Let $c \equiv \text{future}_{i,E}$ and P be the combinator postponing an application, defined by $P(x, y) = y(x)$
 - 1 $c(f)(e) \rightsquigarrow c(Pe \circ f)$
 - 2 $\pi_i(c(f)) \rightsquigarrow c(\pi_i \circ f)$ for $i = 1, 2$

Definition (Reductions on \mathbf{u} , \mathbf{r} for \mathcal{N})

- 1 Let $c \equiv \mathbf{future}_{i,E,D}$, \mathbf{cons}_i and $g : D \rightarrow D$ and $c(f) : D$.
 - 1 $\mathbf{u}(c(f))(g) \rightsquigarrow c(g \circ f) : B$
 - 2 If $d \rightsquigarrow e : D$ then $\mathbf{u}(d)(g) \rightsquigarrow \mathbf{u}(e)(g)$
- 2 Assume $D = (D_0, \dots, D_{n-1})$, $\vec{r} = r_0, \dots, r_n$.
 - 1 If $d \equiv c(f)$ and $c \equiv \mathbf{cons}_i$ then $\mathbf{r}\vec{r}d \rightsquigarrow r_i(\mathbf{r}(\vec{r}) \circ f)$
 - 2 If $d \equiv c(f)$ and $c \equiv \mathbf{future}$ then $\mathbf{r}\vec{r}d \rightsquigarrow r_n(c(\mathbf{r}(\vec{r}) \circ f))$
 - 3 If $d \rightsquigarrow e$ then $\mathbf{r}\vec{r}d \rightsquigarrow \mathbf{r}\vec{r}e$

- ① **Forth** upgrades a term from the context Γ, E, Δ to the context Γ, Δ , executing the extension of index set E .
- ② **Forth** requires the operation $a^{i, E}$ of context lifting (*defined in the next slide*).

Definition (Reductions for **Forth**)

Assume $D = (D_0, \dots, D_{n-1})$.

- ① **(up-grading) Forth.future** $i, E, D(f) \rightsquigarrow \mathbf{c}_{\mathbf{n}, D @ E}(\mathbf{Forth}.f)$
- ② **Forth.future** $j+1, E, D(f) \rightsquigarrow \mathbf{future}_{j, E, D @ E}(\mathbf{Forth}.f)$ for $j \geq i$
- ③ **Forth.c** $(f) \rightsquigarrow c(\mathbf{Forth}.f)$ for any other (future) constructor
- ④ If $d : D \in \mathbf{Data}$ and $d \rightsquigarrow e : D$ then **Forth** $d \rightsquigarrow \mathbf{Forth}e$.
- ⑤ **(Forth.f)(a)** $\rightsquigarrow \mathbf{Forth}.f(a^{i, E})$
- ⑥ $\pi_i(\mathbf{Forth}.a) \rightsquigarrow \mathbf{Forth}.\pi_i(a)$ for $i = 1, 2$.

- 1 Context Lifting downgrades a term from the context Γ, Δ to the context Γ, E, Δ , adding the extension of index set E to the list of future extensions.
- 2 Context lifting adds 1 to the subscripts of future constructors with index in Δ .

Definition (The term $t^{i,E}$)

Assume $\Gamma \vdash t : A$ is a term of \mathcal{N} , c is any constant. We define $t^{i,E}$ by induction on t .

- 1 **(down-grading)** $(\mathbf{future}_{j,F})^{i,E} \equiv \mathbf{future}_{j+1,F}$ for all $j \geq i$
- 2 $c^{i,E} \equiv c$ in all other cases.
- 3 $\mathbf{Forth}_{j,F}(u)^{i,E} \equiv \mathbf{Forth}_{j+1,F}(u^{i,E})$ for all $j \geq i$.
- 4 $\mathbf{Forth}_{j,F}(u)^{i,E} \equiv \mathbf{Forth}_{j,F}(u^{i+1,E})$ in all other cases.
- 5 $t(u)^{i,E} \equiv t^{i,E}(u^{i,E})$